MFG LIMITED
峰滙金融集團有限公司

# Fees & Charges - HK Securities Market
# 港股收費表

***(Effective from 16 November 2026)***

## A. Trade-related Services 證券交易服務

| | |
|---|---|
| 1. Commission Charges<br>基本佣金 | 0.25% (Min HK$100)<br>(最少 HK$100) |
| 2. Stamp Duty<br>印花稅 | 0.1% (Min HK$1, Exempt Warrant & CBBC)<br>(不足 HK$1 當 HK$1 計, 窩輪、牛熊證豁免) |
| 3. Transaction Levy<br>交易徵費 | 0.0027% (Min HK$0.01)<br>(最低 HK$0.01) |
| 4. Trading Fee<br>香港交易及結算所交易費 | 0.00565% (Min HK$0.01)<br>(最低 HK$0.01) |
| 5. CCASS Stock Clearing Fee<br>中央結算股份交收費 | 0.0042% for Exchange Trades<br>0.0020% for eligible market making trades for Exchange Traded Products (ETPs)<br>0.0042% 適用於聯交所買賣,<br>0.0020% 適用於合資格的交易所買賣產品（ETP）莊家交易 |
| 6. AFRC Transaction Levy<br>會財局交易徵費 | 0.00015% on each & every purchase or sale transaction<br>每筆成交 0.00015% |

## B. Financing services 財務收費

| | |
|---|---|
| 1. Margin Account Financing Interest<br>保證金帳戶借貸利息 | Best Lending Rate of HSBC plus 3.5% (subject to change) or Negotiable<br>滙豐銀行最優惠利率加 3.5% 或面議 |
| 2. Cash Account and Custodian Account Overdue Interest<br>現金帳戶及託管帳戶結欠利息 | Best Lending Rate of HSBC plus up to 6% (subject to change) or Negotiable<br>滙豐銀行最優惠利率加最高至 6% 或面議 |

## C. Account Maintenance 帳戶服務收費

| | |
|---|---|
| 1. Custody Fee<br>存倉費 | HK$100 per month<br>每月 HK$100 |
| 2. Dormant Account Fee<br>不動戶服務費 | HK$300 per quarter<br>每季 HK$300 |
| 3. Request for Historical Statements Within past 3 to 18 months<br>補回綜合日結單及綜合月結單服務費:最近三至十八個月<br>Beyond past 18 months up to 7th year<br>超過 18 個月至七年內 | E-Statement/Mailing Statement HK$250 (per set)<br>電子版本 / 郵寄結單 HK$250（每份）<br>HK$500 per set<br>每份結單 HK$500 |

## D. Uncertificated Securities Market (USM) and Settlement-related Services 無紙化證券市場(USM)及過戶交收服務

| | |
|---|---|
| 1. Physical Scrip Deposit (non USM-Listed shares)<br>存入實物股票 (未參與無紙化計劃的上市公司股份)<br>Transfer and Registration Fee<br>轉讓及登記費 | $5 for each transfer deed<br>每張股票或轉手紙 HK$5<br>0.03% Market Value (Min HK$100)<br>0.03% 市值(最低收費 HK$100) |
| 2. Physical Scrip Deposit & Dematerialization (USM-Listed shares)<br>存入實物股票及去實物化(已參與無紙化計劃的上市公司股份)<br>Transfer and Registration Fee<br>轉讓及登記費 | HK $50 for each certificate (Min charge HK$100 per transaction)<br>每張証書 HK$50（每次交易最低收費 HK$100)<br>0.03% Market Value (Min HK$100)<br>0.03% 市值(最低收費 HK$100) |
| 3. Physical Scrip Withdrawal<br>提取實物股票 | 0.03% Market Value (Min HK$200)<br>0.03% 市值(最低收費 HK$200) |
| 4. Withdrawal via USI Facility<br>經持股易(USI)提取實物/去實物化證券 | 0.05% of value of securities withdrawn (Min charge HK $100 per transaction)<br>所提取證券價值的0.05%（每筆交易最低收費 HK$100) |
| 5. CCASS Settlement Instruction<br>中央結算系統的交收指示(SI) | Each stock is charged HK$500 each time<br>每隻股票每次收費 HK$500 |
| 6. ISI Transactions<br>投資交收指示費用(ISI) | HK$100 per instruction<br>每次指示 HK$100 |

## E. Nominee Services and Corporate Actions 代理人及企業行動服務收費

| | |
|---|---|
| 1. For HK Stocks Cash Dividend Collection Fee<br>代收香港股票之現金股息 | HKD: 0.5% of dividend collected (Min HK$30)<br>港元： 代收金額之 0.5%（最低收費港元$30）<br>USD: 0.5% of dividend collected (Min US$4)<br>美元:代收金額之 0.5%（最低收費美元$4）<br>CNY: 0.5% of dividend collected (Min CNY $30)<br>人民幣: 代收金額之 0.5%（最低收費人民幣$30）<br>GBP: 0.5% of dividend collected (Min HKD $200)<br>英鎊:代收金額之 0.5%（最低收費港元$200） |
| 2. Scrip Dividend Collection Fee<br>代收紅股紅息 | HK$2 per board lot (Min HK$30)<br>每手股票HK$2 (最低收費HK$30) |
| 3. Rights and Warrants Exercise Fee<br>代客行使供股權/認股證/牛熊證/備兌證股證 | HK$2 per board lot (Min HK$100)<br>每手股票 HK$2 (最低收費HK$100) |
| 4. Claim of Dividend / Bonus shares<br>代追股息 / 紅股 | 10% of dividend collected (Min HK$100, plus Admin fee HK$800)<br>代收金額之10％ (最低收費HK$100, 另手續費HK$800) |
| 5. Corporate Action Services Fee<br>企業行動服務費 | Min HK$200<br>最低收費HK $100 |
| 6. Cash/Open Offer Charges<br>現金/公開收購 | 0.25% on gross offer amount (Min HK$100)<br>收購金額 0.25% (最低收費HK$100) |

## F. Handling Charge for IPO Application 認購新股費用

| | |
|---|---|
| 1. Handling Charge for IPO Application<br>代辦新股認購手續費 | HK$100 per application<br>每宗HK$100 |
| 2. Financing Charge for New Share Subscription<br>新股融資利息 | Subject to Market Rate<br>按市場情況而定 |

## G. Bonds 債券

| | |
|---|---|
| 1. Custody Fee<br>託管費 | 0.05% of nominal value per annum, charged semi-annually<br>( Min HK$150 for half-year/Max HK$2,500 for half-year)<br>債券票面值的 0.05%年率，每半年收取<br>（最低每半年 HK$150/最高每半年HK$2,500） |
| 2. Interest Collection Fee<br>代收利息費 | 0.25% of nominal value (Min HK$30 / Max HK$200)<br>債券票面值之 0.25% (最少 HK$30 / 最高 HK$200) |

## H. New Capital Investment Entrant Scheme (NEW CIES) Servicing Fee 新資本投資者入境計劃管理費

| | |
|---|---|
| 1. Management Fee<br>管理費 | Negotiable<br>面議 |
| 2. Annual Fee<br>年費 | Negotiable<br>面議 |

## I. Market Data 市場資訊 (GES EX 網上證券交易系統)
**(Subject to change by data vendor)**

| | |
|---|---|
| 1. Streaming Real-time Quotes Services<br>串流式即時報價 | Negotiable<br>面議 |
| 2. Online Real-time Quotes Services<br>網上即時報價服務 | HK$0.07 per quote (Max HK$250 per month)<br>每個報價收費HK$0.07（每月最高收費上限 HK$250） |

## J. Other Services 其他費用

| | |
|---|---|
| 1. Telegraphic Transfer Charges<br>電匯費用 | HK$200 per remittance plus bank charges<br>HK$200 加銀行實收費用 |
| 2. Returned cheque<br>退票費用 | HK$350 per transaction<br>每宗 HK$350 |
| 3. Online Real-time Price Quote<br>即時報價 | Negotiable<br>面議 |
| 4. Administration fee for receiving Daily/Monthly Statement by mail (waived for E-statements)<br>郵寄形式收取日/月結單行政費 (電子結單可豁免) | HK$300 per month<br>每月 HK$300 |
| 5. Designated trading software<br>指定交易系統軟件 | Negotiable, case by case<br>面議 |

MFG LIMITED
峰滙金融集團有限公司

# Hong Kong Futures & Options Charges
# 香港期貨及期權收費表

***(Effective from 16 November 2026)***

| | **Commission(Phone in)** 佣金 **(**電話交易**)** | | **Commission (Internet)** 佣金 **(**網上交易**)** | | **Exchange Fee** | **Commission Levy** |
|---|---|---|---|---|---|---|
| **Futures Product** 期貨產品 | DayTrade (per side) 即日平倉(單邊) | Overnight (per side) 非即日平倉(單邊) | DayTrade (per side) 即日平倉(單邊) | Overnight (per side) 非即日平倉(單邊) | 交易所費用 | 證監會徵費 |
| **Hang Seng Index Futures** 恆生指數期貨 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | HK$10.00 | HK$0.54 |
| **Mini-Hang Seng Index Futures** 小型恆生指數期貨 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | HK$3.50 | HK$0.10 |
| **H-shares Index Futures** H股指數期貨 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | HK$3.50 | HK$0.54 |
| **Mini H-shares Index Futures** 小型H股指數期貨 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | Negotiable 面議 | HK$2.00 | HK$0.10 |
| **Hang Seng Index Options** 恆生指數期權 | Negotiable 面議 | | Negotiable 面議 | | HK$10.00 | HK$0.54 |
| **Mini-Hang Seng Index Options** 小型恆生指數期權 | Negotiable 面議 | | Negotiable 面議 | | HK$2.00 | HK$0.10 |
| **H-shares Index Options** H股指數期權 | Negotiable 面議 | | Negotiable 面議 | | HK$3.50 | HK$0.54 |

MFG LIMITED
峰滙金融集團有限公司

# Hong Kong Stock Options Charges
# 香港股票期權收費表

***(Effective from 16 November 2026)***

## A. Trade-related Service 有關交易服務

| | |
|---|---|
| 1. Stock Option Commission<br>股票期權佣金 | 0.25% (Min HK$100)<br>（最少 HK$100） |
| 2. Transaction Levy<br>交易徵費 | HK$3 per lot or HK$1 per lot or HK$0.5 per lot (Determined and collected by Options Clearing House)<br>每手 HK$ 3 或 HK$1 或 HK$0.5 (按期權結算所釐定及代其收取) |

## B. Fees for Exercise/ Assign 行使/被行使費用

| | |
|---|---|
| 1. Brokerage<br>經紀佣金 | Exercise price x No. of contract x lots size x [ Negotiable %] (Negotiable Min Charge)<br>行使價x 張數x 每手股數x [ 面議 %] (最低收費面議) |
| 2. Stamp Duty<br>印花稅 | Refer to HK Securities Market (Part A)<br>請參照香港股票收費 (A 部) |
| 3. Transaction Levy<br>交易徵費 | Refer to HK Securities Market (Part A)<br>請參照香港股票收費 (A 部) |
| 4. Transaction Fee<br>交易費 | Refer to HK Securities Market (Part A)<br>請參照香港股票收費 (A 部) |
| 5. CCASS Fee<br>中央結算費 | Refer to HK Securities Market (Part A)<br>請參照香港股票收費 (A 部) |
| 6. Exercising Fee<br>行使費 | HK$2 per Lot<br>每張$2 |

MFG LIMITED
峰滙金融集團有限公司

# Fees & Charges – Stock Connect A Shares
# 滬港通/深港通A股收費表
***(Effective from 16 November 2026)***

## A. Trade-related Services 證券交易服務

| | |
|---|---|
| 1. Commission Charges<br>基本佣金 | 0.5% (Min RMB¥100.00)<br>0.5% (最少 RMB¥100.00) |
| 2. Stamp duty<br>印花稅 | 0.05% (sells only)<br>0.05% (僅賣出收取) |
| 3. Handling Fees<br><br>經手費 | 0.00341% (stock)<br>0.004% (ETF)<br><br>0.00341%(股票)<br>0.004%(交易所買賣基金) |
| Securities management Fees<br>證管費 | 0.002% |
| 4. Transfer Fees (CSDC) (中國結算)<br>Transfer Fees (HKSCC) (香港結算)<br>過戶費 | 0.001%<br>0.002% |
| 5. Portfolio Fees<br>證券組合費* | 0.008%<br>holding stock value * 0.008% / 365<br>持有股值 * 0.008% / 365日（每日計算，按月收取） |

## B. Financing services 財務收費

| | |
|---|---|
| 1. Margin Account financing interest<br>保證金帳戶借貸利息 | Best Lending Rate of HSBC plus 3.5% (subject to charge) or Negotiable<br>滙豐銀行最優惠利率加 3.5% 或面議 |
| 2. Cash Account and Custodian Account Overdue Interest<br>現金帳戶及託管帳戶結欠利息 | Best Lending Rate of HSBC plus up to 6% (subject to charge) or Negotiable<br>滙豐銀行最優惠利率加最高至 6% 或面議 |

## C. Account Maintenance 帳戶服務收費

| | |
|---|---|
| 1. Custody Fee<br>存倉費 | RMB¥100.00 per month<br>每月 RMB¥100 |
| 2. Dormant Account Fee<br>不動戶服務費 | RMB¥200.00 / quarter<br>每季 RMB¥200 |
| 3. Request for Historical Statements Within past 18 months<br>補回綜合日結單及綜合月結單服務費:最近十八個月 | HKD$100 per statement copy<br>每份結單 HKD$100 |
| Beyond past 18 months up to 7th year<br>超過 18 個月至七年內 | HK$500 per statement copy<br>每份結單 HK$500 |

MFG LIMITED
峰滙金融集團有限公司

# Fees & Charges –U.S. & Foreign Stocks
# 外國股票收費表
***(Effective from 16 November 2026)***

## A. Trade-related Services 證券交易服務

| | |
|---|---|
| 1. Commission Charges<br>基本佣金 | 0.25% (Min US$10)<br>0.25% (最少 US$10) |
| 2. Settlement Fees<br>交收費 | US$0.003/Share<br>0.003美元/成交股數 |
| 3. SEC fees<br>證監會規費 | 0.00206% * transaction amount, min $0.01/trade (sells only)<br>0. 00206% * 交易金額，每次成交最低0.01 美元（僅賣出收取） |
| 4. Trading Activity Fees<br>交易活動費 | $0.000195/Share, min $0.01/trade, max $9.79/trade (sells only)<br>0.000195美元 * 賣出數量，每次成交最低0.01 美元，最高9.79 美元（僅賣出收取） |

## B. Financing services 財務收費

| | |
|---|---|
| 1. Margin Account financing interest<br>保證金帳戶借貸利息 | Best Lending Rate of HSBC plus 3.5% (subject to change) or Negotiable<br>滙豐銀行最優惠利率加 3.5% 或面議 |
| 2. Cash Account and Custodian Account Overdue Interest<br>現金帳戶及託管帳戶結欠利息 | Best Lending Rate of HSBC plus up to 6% (subject to change) or Negotiable<br>滙豐銀行最優惠利率加最高至 6% 或面議 |

## C. Account Maintenance 帳戶服務收費

| | |
|---|---|
| 1. Custody Fee<br>存倉費 | Free<br>不另收費 |
| 2. Dormant Account Fee<br>不動戶服務費 | HK$300 per quarter<br>每季HK$300 |
| 3. Request for Historical Statements Within past 18 months<br>Statement補回綜合日結單及綜合月結單服務費:最近十八個月<br>Beyond past 18 months up to 7th year<br>超過 18 個月至七年內 | Free E-Statement /HK$250 Mailing<br>電子版本不另收費 / 郵寄結單 HK$250<br>HK$500 per statement copy<br>每份結單 HK$500 |

## D. Nominee Services and Corporate Actions 代理人及企業行動服務收費

| | |
|---|---|
| 1. USD Stocks Cash Dividend Collection Fee<br>代收美國股票現金股息 | 5% of net dividend after withholding tax (Min USD$20)<br>扣除預扣稅後股息淨額的5% （最低收費美元$20） |
| Taiwan Stocks Cash Dividend Collection Fee<br>代收台灣股票現金股息 | 5% of net dividend after withholding tax (Min TWN $700)<br>扣除預扣稅後股息淨額的5% （最低收費台幣$700） |
| HKD-RMB Dual Counter Stocks CNY Collection Fee<br>代收雙櫃台的人民幣股息 | 5% of dividend collected after 10% Corporate Income Tax (Min CNY $200)<br>扣除預扣企業所得稅後股息淨額的5% （最低收費人民幣$200） |
| 2. All other corporate action charges including Cash Offers, Privatizations, Mergers & Exchanges, Liquidations, and Warrant Conversions<br>其他所有公司行動收費<br>包括現金收購、私有化、合併及換股、清盤及認股權證轉換 | USD$30 |

Please contact your account manager for more details of the latest Fees & Charges
請聯絡你的客戶經理以查閱本公司之最新收費
Our company reserves the rights to adjust the charges above without prior notice
本公司有權隨時調整以上收費及息率，而毋須另行通知

Commission of index options rounded up to the nearest dollar
指數期權佣金以四捨五入至個位數
The commission would not be treated as a daily transaction in the event of an auto-settle of a current month's futures contract in the contract expiration date
即月期貨合約在到期日自動平倉，佣金將以非即日交易